

Adam Caleb Babson
Dumaine Investments LLC

**650 Poydras Street, Suite 1010
New Orleans, LA 70130**

Telephone: 504-521-7350

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Adam Babson that supplements the Dumaine Investments LLC brochure. You should have received a copy of that brochure. Contact us at 504-521-7350 if you did not receive Dumaine Investments LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Adam Babson (CRD # 7693397) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Adam Caleb Babson

Year of Birth: 1982

Formal Education After High School:

- Williams College, BA (cum laude) Economics and English Literature

Business Background:

- Dumaine Investments LLC, Senior Portfolio Manager and Vice President,
1/2023 – Present
- Self-Employed , Portfolio Manager and Trader,
5/2019-12/2022
- Russell Investments , Portfolio Manager and Senior Analyst,
9/2005 – 4/2019

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Adam Babson has no required disclosures under this item.

Item 4 Other Business Activities

Adam Babson is not involved in other business activities.

Item 5 Additional Compensation

Refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Dumaine Investments LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Dumaine Investments LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Clifford F. Favrot, President and Chief Compliance Officer

Supervisor phone number: 504-521-7355